

Beyond Perturbation Theory in Inflation

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Outline

- 1 Introduction
- 2 Anharmonic oscillator
- 3 Calculation in Inflation
- 4 Future Directions

- Inflation: $ds^2 = -dt^2 + a^2(t)dx^2$ with $\ddot{a} > 0$

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R + X - V(\phi) + \dots \right]$$

$X = -(\partial\phi)^2/2$. The background eq. for $\phi_0(t)$ is

$$\ddot{\phi}_0 + 3H\dot{\phi}_0 + V'(\phi_0) + \dots = 0$$

- de-Sitter space: Flat slicing

$$ds^2 = \frac{1}{H^2\eta^2} (-d\eta^2 + dx^2)$$

Introduction

- Quantum Fluctuation ζ , ζ -gauge

$$\delta\phi = 0, \quad h_{ij} = a^2(t) \left[e^{2\zeta} \delta_{ij} + \gamma_{ij} \right]$$

- Free action of ζ

$$S = \int d\eta d^3x \frac{1}{2\eta^2 P_\zeta} \left[\zeta'^2 - (\partial_i \zeta)^2 \right]$$

where $P_\zeta \equiv H^2 / (2\epsilon M_{\text{Pl}}^2)$

- Quantization as usual: $\zeta_{\mathbf{k}}(\eta) \sim \zeta_{\mathbf{k}}^{\text{cl}}(\eta) a_{\mathbf{k}}^\dagger + \zeta_{\mathbf{k}}^{\text{cl}}(\eta)^* a_{-\mathbf{k}}$
 \Rightarrow Scale invariant power spectrum

$$\langle \zeta_{\mathbf{k}} \zeta_{\mathbf{k}'} \rangle' = \frac{P_\zeta}{k^3}, \quad P_\zeta \sim 10^{-8}$$

- Interacting Hamiltonian: In-In formalism (weakly coupling limit)

$$\langle Q(\eta) \rangle = \langle 0 | \bar{T} e^{i \int_{-\infty(1-i\epsilon)}^{\eta} H_{int}^I(\eta') d\eta'} Q^I(\eta) T e^{-i \int_{-\infty(1+i\epsilon)}^{\eta} H_{int}^I(\eta'') d\eta''} | 0 \rangle$$

$i\epsilon$ -prescription \Rightarrow Bunch-Davies vacuum $|0\rangle$

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$i\epsilon$ -prescription \Rightarrow Bunch-Davies vacuum $|0\rangle$

- Example: $\mathcal{L} = \frac{1}{2\eta^2 P_\zeta} \left[\zeta'^2 - (\partial_i \zeta)^2 \right] + \frac{\lambda}{4! P_\zeta^2} \zeta'^4,$

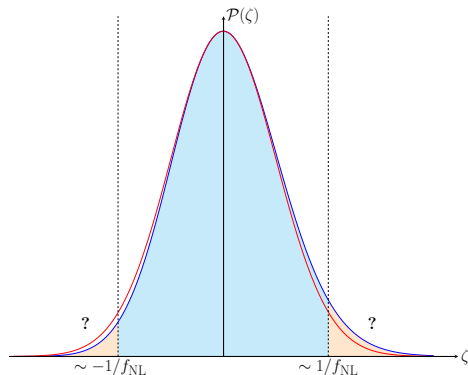
$$\frac{\langle \zeta \zeta \zeta \rangle}{P_\zeta^{3/2}} \sim f_{NL} P_\zeta^{1/2} \ll 1, \quad \frac{\langle \zeta \zeta \zeta \zeta \rangle}{P_\zeta^2} \sim g_{NL} P_\zeta \sim \lambda \ll 1$$

The expansion parameter is just λ

Introduction

- Tails of the distribution

$$\begin{aligned} \mathcal{P}_{\text{PT}}[\zeta] &\sim \exp \left\{ -\frac{\zeta^2}{2P_\zeta} - \frac{\langle \zeta \zeta \zeta \rangle}{2P_\zeta^3} \zeta^3 - \frac{\langle \zeta \zeta \zeta \zeta \rangle}{2P_\zeta^4} \zeta^4 \dots \right\} \\ &= \exp \left\{ -\frac{\zeta^2}{2P_\zeta} \left[1 + \frac{\langle \zeta \zeta \zeta \rangle}{P_\zeta^2} \zeta + \frac{\langle \zeta \zeta \zeta \zeta \rangle}{P_\zeta^3} \zeta^2 + \dots \right] \right\} \end{aligned}$$



$$\begin{aligned} \frac{\langle \zeta \zeta \zeta \rangle}{P_\zeta^2} \zeta &\sim f_{\text{NL}} \zeta, \\ \frac{\langle \zeta \zeta \zeta \zeta \rangle}{P_\zeta^3} \zeta^2 &\sim g_{\text{NL}} \zeta^2 \end{aligned}$$

The expansion parameter now depends on size of ζ

Main Idea

Unlikely events at the tails
||
Semi-classical limit ($\hbar \rightarrow 0$)

The wavefunction of the Universe (WFU) $\sim e^{iS/\hbar}$ will be computed semi-classically

- Primordial black hole formation: occurs around horizon re-entry

The mass fraction of PBH is

$$\beta(M) = \int_{\zeta_c}^{\infty} \mathcal{P}[\hat{\zeta}] d\hat{\zeta}, \quad \hat{\zeta}(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} W(k) \zeta(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}}$$

⇒ The formation is sensitive to $\zeta \sim 1$

⇒ The pert. theory is still valid for $f_{NL}\zeta \sim f_{NL} \ll 1$

(Single field slow-roll: $f_{NL} \sim \mathcal{O}(\epsilon, \eta)$, K-Inflation: $f_{NL} \sim (1 - 1/c_s^2)$, $|f_{NL}^{equil}| < 80$)

⇒ To study PBH formation one needs to go beyond perturbation theory

Analogy in QM: Compute the wavefunction in the Semi-classical limit

Euclidean Path integral

- From Path integral to the wavefunction of the ground state

$$\langle x_f | e^{-H(\tau_f - \tau_i)/\hbar} | x_i \rangle = \sum_n e^{-E_n(\tau_f - \tau_i)/\hbar} \Psi_n(x_f) \Psi_n^*(x_i)$$

$$\Psi_0(x_f) \Psi_0^*(x_i) e^{-E_0 T/\hbar} = \lim_{T \rightarrow \infty} \int_{x(\tau_i)=x_i}^{x(\tau_f)=x_f} \mathcal{D}x(\tau) e^{-S_E[x(\tau)]/\hbar}$$

- Expand $x(\tau) = x_{cl}(\tau) + y(\tau)$

$$\Psi_0(x_f) = N e^{-S_E[x_{cl}]/\hbar} \int_{y(\tau_i)=0}^{y(\tau_f)=0} \mathcal{D}y(\tau) e^{-\frac{1}{\hbar} \left(\frac{1}{2} \frac{\delta^2 S}{\delta x^2} y^2 + \frac{1}{3!} \frac{\delta^3 S}{\delta x^3} y^3 + \dots \right)}$$

$$\Psi_0(x_f) \simeq \mathcal{I}[x_f] e^{-S_E[x_{cl}]/\hbar}$$

Anharmonic oscillator

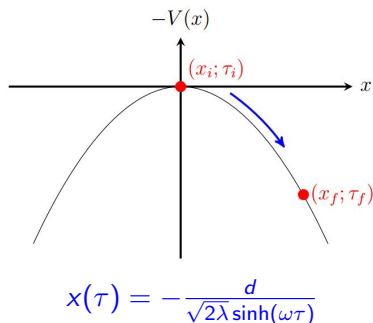
- $V(x) = \hbar\omega \left[\frac{1}{2} \left(\frac{x}{d} \right)^2 + \lambda \left(\frac{x}{d} \right)^4 \right]$, $d \equiv \sqrt{\hbar/m\omega}$
 \Rightarrow The PT breaks down when $\lambda x_f^2/d^2 \equiv \bar{x}^2/2 \sim 1$

- In Euclidean space,
 $\mathcal{L} = \frac{1}{2} m \dot{x}^2 + V(x)$

- Real path connecting $x(\tau_i) = x_i$
and $x(\tau_f) = x_f$

- For $T = \tau_f - \tau_i \rightarrow \infty \Rightarrow E = 0$

\Rightarrow The real path with infinite amount of times is the one with zero energy



Anharmonic oscillator: Scaling argument

- Recall the wavefunction $\Psi_0(x_f) \simeq \mathcal{I}[x_f] e^{-S_E[x_{cl}]/\hbar}$
- The Euclidean action is

$$S_E[x(\tau)] = \int_{\tau_i}^{\tau_f} d\tau \left\{ \frac{1}{2} m \dot{x}^2 + \hbar\omega \left[\frac{1}{2} \left(\frac{x}{d} \right)^2 + \lambda \left(\frac{x}{d} \right)^4 \right] \right\}$$

Rescaling $x \rightarrow (\sqrt{\hbar/\lambda})x$, then

$$\frac{S_E[x_{cl}(\tau)]}{\hbar} \sim \frac{1}{\lambda} F(\lambda x_f^2/d^2)$$

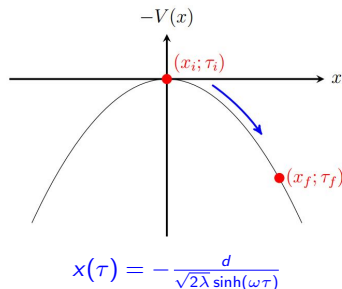
- The prefactor of $\mathcal{I}[x_f]$ goes as $\lambda^0 G(\lambda x_f^2/d^2) \Leftrightarrow$ 1-loop diagrams
- Neglect the higher-order in $\lambda \Leftrightarrow$ higher-loop diagrams

Anharmonic oscillator: Ground-state wavefunction

- The on-shell action with zero energy

$$\begin{aligned}\frac{S_E[x_{cl}(\tau)]}{\hbar} &= \frac{1}{\hbar} \int_{\tau_i}^{\tau_f} d\tau \, m\dot{x}^2 \\ &= \frac{1}{6\lambda} \left[(1 + \bar{x}^2)^{3/2} - 1 \right]\end{aligned}$$

$$\mathcal{I}(x_f) = \mathcal{N} \sqrt{\frac{m}{2\pi i \hbar v_f v_i} \int_0^{x_f} \frac{dx'}{v^3(x')}}}, \quad \bar{x}^2 \equiv 2\lambda x_f^2 / d^2$$



$$\Psi_0(x_f) = \mathcal{N} \frac{\exp\left\{-\frac{1}{6\lambda} \left[(1 + \bar{x}^2)^{3/2} - 1 \right]\right\}}{(1 + \bar{x}^2)^{1/4} (1 + \sqrt{1 + \bar{x}^2})^{1/2}} \left(1 + \mathcal{O}(\lambda)f(\bar{x})\right)$$

This is valid for arbitrary \bar{x} .

Free theory

- The wavefunction of the Universe $\Psi[\zeta_0(\mathbf{x})] = \int_{BD}^{\zeta_0(\mathbf{x})} \mathcal{D}\zeta e^{iS[\zeta]/\hbar}$
- The free saddle point is ([Maldacena 02](#))

$$\zeta_{\mathbf{k}}^{cl}(\eta) = \zeta_{\mathbf{k}}^0 \frac{(1 - ik\eta)e^{ik\eta}}{(1 - ik\eta_f)e^{ik\eta_f}}$$

$\Rightarrow i\epsilon$ -prescrip. selects the correct BC at early times

$$iS[\zeta_{cl}] = i \int_{\mathbf{k}} \frac{1}{2P_{\zeta}\eta_f^2} \zeta_{-\mathbf{k}}^{cl} \partial_{\eta} \zeta_{\mathbf{k}}^{cl} \Big|_{\eta=\eta_f} = \int_{\mathbf{k}} \frac{1}{2P_{\zeta}} \left(\frac{ik^2}{\eta_f} - k^3 + \dots \right) \zeta_{-\mathbf{k}}^0 \zeta_{\mathbf{k}}^0$$

$$\int_{\mathbf{k}} = \int d^3k / (2\pi)^3$$

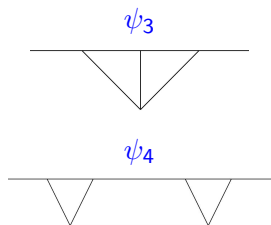
\Rightarrow The WFU is a Gaussian distribution

Interacting theory

- In perturbation theory, the WFU can be expanded as

$$\Psi = \exp \left[\frac{1}{2} \int d^3x d^3y \langle \mathcal{O}(\mathbf{x}) \mathcal{O}(\mathbf{y}) \rangle \zeta(\mathbf{x}) \zeta(\mathbf{y}) + \frac{1}{6} \int d^3x d^3y d^3z \langle \mathcal{O}(\mathbf{x}) \mathcal{O}(\mathbf{y}) \mathcal{O}(\mathbf{z}) \rangle \zeta(\mathbf{x}) \zeta(\mathbf{y}) \zeta(\mathbf{z}) + \dots \right]$$

⇒ The on-shell action amounts to computing tree-level Witten diagrams



Cosmological correlators:

$$\langle \zeta_{\mathbf{k}} \zeta_{-\mathbf{k}} \rangle' = \frac{-1}{2 \text{Re} \langle \mathcal{O}_{\mathbf{k}} \mathcal{O}_{-\mathbf{k}} \rangle'}$$

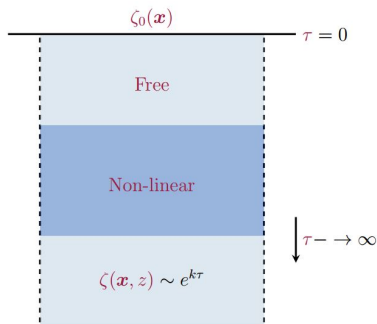
$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle' = \frac{2 \text{Re} \langle \mathcal{O}_{\mathbf{k}_1} \mathcal{O}_{\mathbf{k}_2} \mathcal{O}_{\mathbf{k}_3} \rangle'}{\prod_i (-2 \text{Re} \langle \mathcal{O}_{\mathbf{k}_i} \mathcal{O}_{-\mathbf{k}_i} \rangle')}$$

Non-linear WFU

- Boundary conditions: BD at early times and ζ_0 at late times
- Find the **non-linear classical** solution to the EoM
- Compute the WFU in the semi-classical limit

$$\Psi[\zeta_0(\mathbf{x})] \sim e^{iS[\zeta_{cl}]/\hbar}$$

- The derivative coupling e.g. ζ'^4
- ⇒ Deep inside horizon: **free theory**
- ⇒ Outside horizon: **non-linear term is switched off**



EFT of Inflation

- The single coupling ζ'^4 can be justified in EFT of Inflation for large quartic operator (Senatore & Zaldarriaga 11)

$$\mathcal{L}_{EFT} = -M_{\text{Pl}}^2 \dot{H} \left(\dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) + M_4^4 (16\dot{\pi}^4 - 32\dot{\pi}^3 (\partial_\mu \pi)^2 + \dots)$$

The coeff. of cubic operators can be set to zero: $M_2^4 (\delta g^{00})^2$, $M_3^4 (\delta g^{00})^3$

- $\pi \rightarrow \pi_c$, $\mathcal{O}(\pi_c^{N>4})$ are suppressed by $g_{\text{NL}} \sim M_4^4 / (|\dot{H}| M_{\text{Pl}}^2) \lesssim 10^6$

$$iS = i \int d^3x d\eta \left\{ \frac{1}{2\eta^2 P_\zeta} \left[\zeta'^2 - (\partial_i \zeta)^2 \right] + \frac{\lambda}{4! P_\zeta^2} \zeta'^4 \right\}, \quad \zeta = -H\pi_c / \dot{\phi}_0$$

The Euclidean EoM reads

$$-\zeta'' + \frac{2}{\tau} \zeta' - \partial_i^2 \zeta - \frac{\lambda}{2P_\zeta} \tau^2 \zeta'^2 \zeta'' = 0$$

Scaling argument of $S[\zeta_{cl}]$

- Recall the WFU: $\Psi[\zeta_0(\mathbf{x})] \sim e^{-S_E[\zeta_{cl}]}$
- The **Euclidean** action ($\eta = -i\tau$)

$$S_E \equiv - \int d^3x d\tau \left\{ \frac{1}{2\tau^2 P_\zeta} \left[\zeta'^2 + (\partial_i \zeta)^2 \right] + \frac{\lambda}{4! P_\zeta^2} \zeta'^4 \right\}$$

- Rescaling $\zeta \rightarrow \zeta/\sqrt{\lambda}$, then

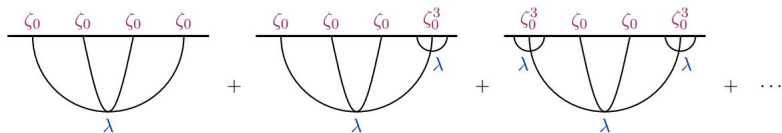
$$S_E[\zeta_{cl}] = \frac{1}{\lambda} F(\lambda \zeta_0^2 / P_\zeta)$$

- The relevant expansion parameter is $\lambda \zeta_0^2 / P_\zeta$
- Neglect the prefactor, $\lambda^0 G(\lambda \zeta_0^2 / P_\zeta)$, and the higher orders in λ

Witten diagrams

- Tree-level graphs, captured by semiclassical method:

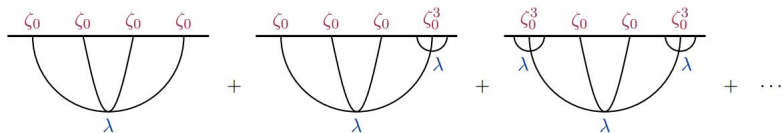
$$\frac{F(\lambda\zeta_0^2)}{\lambda} \sim \zeta_0^2 + \lambda\zeta_0^4 + \lambda^2\zeta_0^6 + \lambda^3\zeta_0^8 + \dots$$



Witten diagrams

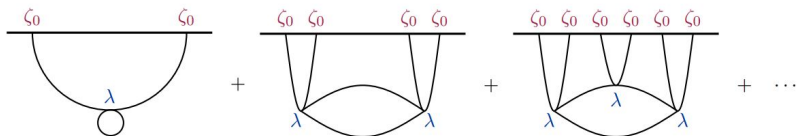
- Tree-level graphs, captured by semiclassical method:

$$\frac{F(\lambda\zeta_0^2)}{\lambda} \sim \zeta_0^2 + \lambda\zeta_0^4 + \lambda^2\zeta_0^6 + \lambda^3\zeta_0^8 + \dots$$



- 1-loop graphs, would be captured by the prefactor:

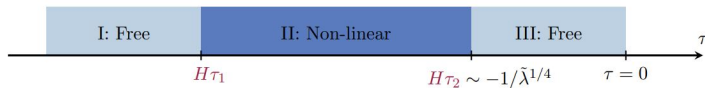
$$\lambda^0 G(\lambda\zeta_0^2) \sim \lambda\zeta_0^2 + \lambda^2\zeta_0^4 + \lambda^3\zeta_0^6 + \dots$$



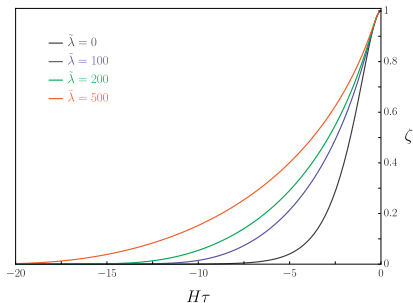
Approximation using ODE

- The derivative coupling only affects the modes of similar wavelength

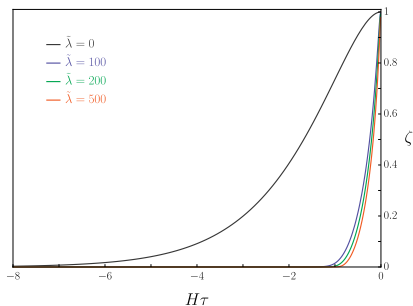
$$-\zeta'' + \frac{2}{\eta}\zeta' + H^2\zeta - \frac{\lambda}{2P_\zeta}\eta^2\zeta'^2\zeta'' = 0, \quad \tilde{\lambda} = \lambda\zeta_0^2/P_\zeta$$



$$\zeta \rightarrow \zeta_0\zeta$$



$$\tau \rightarrow \tilde{\lambda}^{1/2}\tau$$



Approximation using ODE

- The rescaling in τ gives the behaviour for large λ

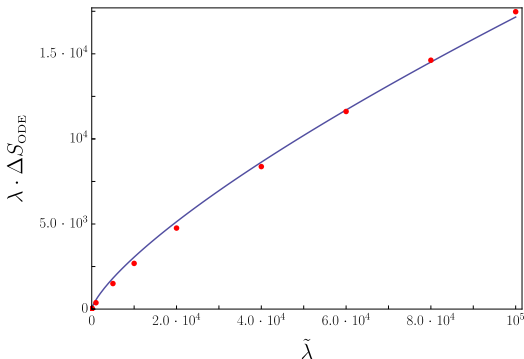
$$\Delta S_{ODE} = -\frac{\zeta_0^2}{P_\zeta} \int_{\tau_i}^{\tau_f} d\tau \left\{ \frac{1}{2\tau^2} \left[\zeta'^2 + H^2(\zeta^2 - 1) \right] + \frac{\tilde{\lambda}}{4!} \zeta'^4 \right\} = \frac{1}{\lambda} F(\tilde{\lambda})$$

$$\tilde{\lambda} = \lambda \zeta_0^2 / P_\zeta$$

$$\Delta S_{ODE} \sim \frac{1}{\lambda} \tilde{\lambda}^{3/4}$$

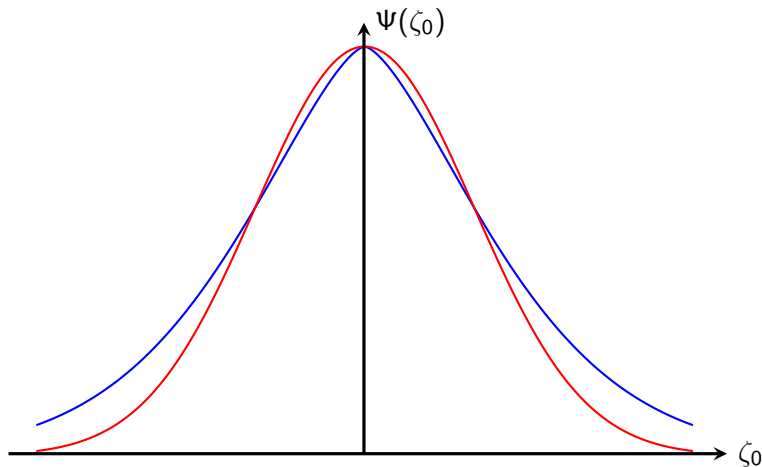
\Downarrow

$$\Psi[\zeta_0] \sim \exp \left[-\frac{\zeta_0^{3/2}}{\lambda^{1/4} P_\zeta^{3/4}} \right]$$



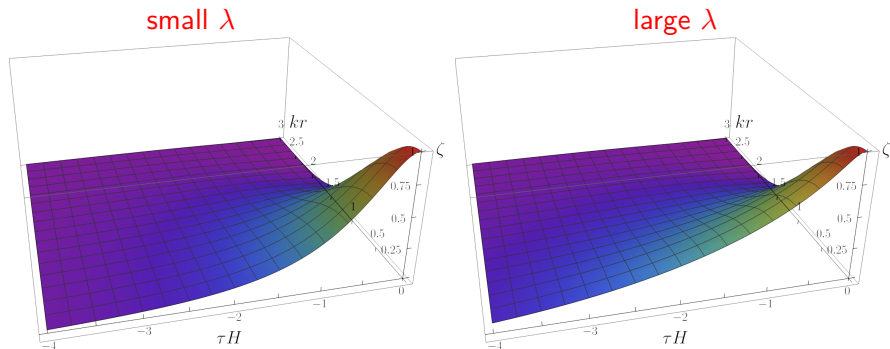
Approximation using ODE

- $\Psi_G \sim \exp(-\zeta_0^2/2)$, $\Psi \sim \exp(-\zeta_0^{3/2}/2)$: Ψ is heavier than Ψ_G



PDE with Gaussian profile

- The Gaussian profile at η_c : $\zeta(r) \sim \zeta_0 e^{-r^2}$

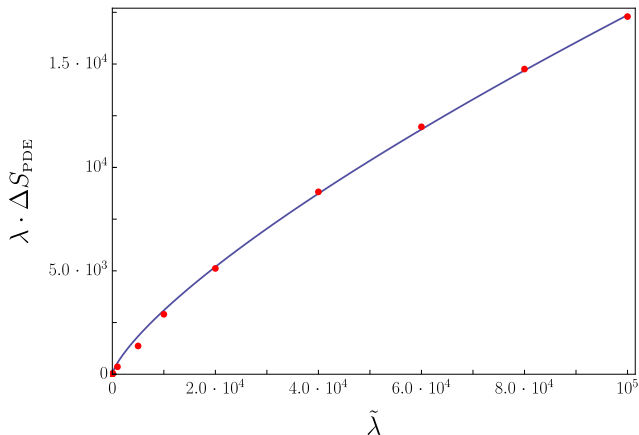


- For small λ , it reduces to perturbative result

PDE with Gaussian profile

- The on-shell action

$$\Delta S_{PDE} \sim \frac{1}{\lambda} \tilde{\lambda}^{3/4} \Rightarrow \psi \sim \exp(-\zeta_0^{3/2} / \lambda^{1/4})$$



Future Directions: Inflation

- Explore PBH formation $\zeta_c \sim 1$, $\mathcal{P}[\zeta_{\mathbf{k}}^0] = |\Psi[\zeta_0]|^2$

$$\mathcal{P}[\zeta_c] = \mathcal{N}^{-1} \int \mathcal{D}[\zeta_{\mathbf{k}}^0] \mathcal{P}[\zeta_{\mathbf{k}}^0] \Theta(\hat{\zeta}[\zeta_{\mathbf{k}}^0] - \zeta_c)$$

$$\hat{\zeta}[\zeta_{\mathbf{k}}^0] = \int_{\mathbf{k}} W(k) \zeta_{\mathbf{k}}^0 e^{i\mathbf{k}\cdot\mathbf{x}}$$

- Generalize to
 - Different interactions
 - Slow-roll inflation
 - Tensor mode γ_{ij}
- Connection to large number of legs limit (e.g. [Badel et al. 20](#))
- Any implication for AdS/CFT ? Compute the exact Z for given source ?

Two fields in dS

- Idea: take one field to be on the background and compute the other non-perturbatively

$$S = \int d\eta d^3x \left[\frac{1}{2\eta^2 H^2} (\sigma'^2 - (\partial_i \sigma)^2) + \frac{1}{2\eta^2 H^2} (\chi'^2 - (\partial_i \chi)^2) - \frac{\lambda}{\eta^4 H^3} \chi \sigma^2 \right]$$

- For $k_\chi \ll k_\sigma$ we have

$$S_\sigma = \int d\eta d^3x \left[\frac{1}{2\eta^2 H^2} (\sigma'^2 - (\partial_i \sigma)^2) - \frac{\alpha H^2}{2\eta^4} \sigma^2 \right]$$

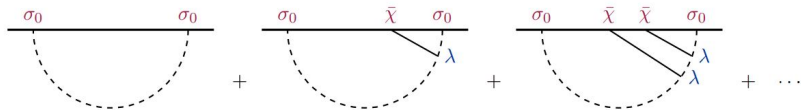
where $\alpha \equiv 2\lambda\bar{\chi}/H$. This is just a massive scalar field on dS whose power spectrum at late times is

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' \simeq \frac{H^2}{2k^{3-\frac{2}{3}\alpha}} = \frac{H^2}{2k^{3-\frac{4}{3}\lambda\bar{\chi}/H}}$$

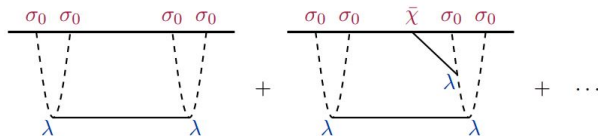
We have resummed all powers in $\lambda\bar{\chi}$

Two fields in dS

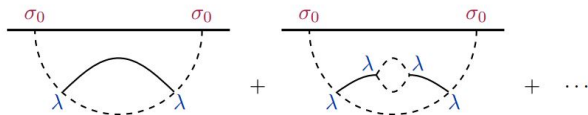
- Tree-level diagrams, enhanced by $\bar{\chi}$ and resummed



- Tree-level exchange diagrams, with fewer powers of $\bar{\chi}$



- Loop diagrams, subleading in λ



Work in progress: Spatial derivative coupling

- The spatial derivative interaction $(\partial_i \zeta)^4$

$$S = \int d^3x d\eta \frac{1}{P_\zeta} \left\{ \frac{1}{2\eta^2} \left[\zeta'^2 - (\partial_i \zeta)^2 \right] \pm \frac{\lambda}{4!} (\partial_i \zeta)^4 \right\}$$

- All possible subtleties:

- The $+$ sign \Rightarrow Gradient inst.

- The $-$ sign (healthy) \Rightarrow the solution becomes complex for large λ

- Study QM in p -space for $\hat{x}^2 + \hat{x}^4$, $\hat{x} \sim d/dp$, $\Psi(p) \sim e^{i\sigma(p)/\hbar}$

$$\frac{p^2}{2m} + V(-\sigma'(p)) = E, \quad \sigma(p_f) = \int^{p_f} dp V^{-1} \left(E - \frac{p^2}{2m} \right)$$

- There are complex saddle points depending on p_f

Work in progress: Two fields model

- Two field model: $S = \int d\eta d^3x \left[\mathcal{L}_\sigma^0 + \mathcal{L}_\chi^0 - \frac{\lambda}{\Lambda^4} (\partial_i \sigma)^2 (\partial_i \chi)^2 \right]$
- Treat χ as a background for σ ($k_\chi \ll k_\sigma$)

$$\sigma_k'' - \frac{2}{\eta} \sigma_k' + (1 + \alpha \eta^2) k^2 \sigma_k = 0, \quad \alpha \equiv \frac{2\lambda (\partial_i \bar{\chi})^2 H^2}{\Lambda^4}$$

- The power spectrum of σ is

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' = \frac{\pi}{8k^{3/2} \alpha^{3/4}} \frac{e^{-\pi k / (4\sqrt{\alpha})}}{\left| \Gamma\left(\frac{5}{4} + \frac{ik}{4\sqrt{\alpha}}\right) \right|^2}$$

\Rightarrow Not analytic around $\alpha = 0$

Work in progress: Two fields model

- The result matches with PT for small α

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' = \frac{H^2}{2k^3} \left(1 - \frac{5\lambda(\partial_i \bar{\chi})^2 H^2}{2\Lambda^4 k^2} \right)$$

- For large α

$$\langle \sigma_{\mathbf{k}} \sigma_{-\mathbf{k}} \rangle' \simeq \frac{H^2}{k^{3/2} \alpha^{3/4}}$$

- The Wavefunction of the Universe is

$$\Psi[\sigma_0] \sim \exp[-\alpha^{3/4} \sigma_0^2]$$

\Rightarrow This is the WFU of σ in the large background of χ

Backup Inflation

EFT of Inflation: Large field limit

- Take $M_2 = M_3 = 0$. The operators $\pi^{N>4}$ are suppressed by g_{NL} .
 $\pi \rightarrow -\pi$ is an approx. symmetry when $g_{\text{NL}} \gg 1$. The operators with odd power will then be suppressed by g_{NL} .
- Loop corrections to $M_2(\delta g^{00})^2$ and $M_3(\delta g^{00})^3$ also are suppressed by g_{NL} since their leading terms are odd in π .
- What about $(\delta g^{00})^n$?
 - For n odd, these will be suppressed by approx. symmetry
 - For n even, no suppression \Rightarrow consider all of them or the loop integral can be cut at $\Lambda < \Lambda_U$. At least they are down by $(\Lambda/\Lambda_U)^\#$. Otherwise UV completion is needed
- $\delta g^{00} = 1 + g^{00} \rightarrow -2\dot{\pi} + (\partial_\mu \pi)^2$ under $t \rightarrow t + \pi$

EFT of Inflation: Large field limit

- \mathcal{L}_ζ from $(\delta g^{00})^4$: ($\zeta = -H\pi$)

$$S_\zeta = \int d^4x \sqrt{-g} \frac{|\dot{H}| M_{\text{Pl}}^2}{H^2} \left[(\partial_\mu \zeta)^2 + g_{\text{NL}} \frac{1}{H^2} \dot{\zeta}^4 + g_{\text{NL}} \frac{1}{H^3} \dot{\zeta}^3 (\partial_\mu \zeta)^2 + \dots \right]$$

- Comparison with \mathcal{L}_2 :

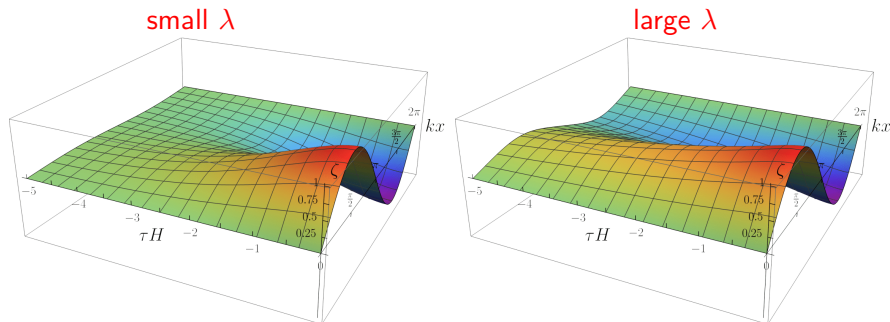
$$\frac{\mathcal{L}_4}{\mathcal{L}_2} \sim g_{\text{NL}} \zeta^2 \sim 1, \quad \frac{\mathcal{L}_5}{\mathcal{L}_2} \sim g_{\text{NL}} \zeta^3 = g_{\text{NL}} \zeta^2 \zeta \ll 1$$

for $g_{\text{NL}} \gg 1$ (Exp. $g_{\text{NL}} \ll 10^6$).

- \mathcal{L}_5 becomes important ($g_{\text{NL}} \zeta^3 \sim 1$) when $g_{\text{NL}} \zeta^2 \gtrsim g_{\text{NL}}^{1/3}$
- If $\zeta \sim 1 \Rightarrow$ all the terms inside each $(\delta g^{00})^n$ are important, e.g. $\mathcal{L}_4/\mathcal{L}_5 \sim \zeta$.

PDE with sinusoidal profile

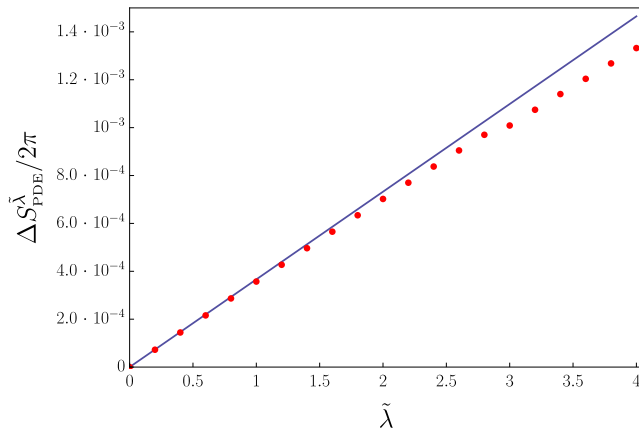
- The Gaussian profile at η_c : $\zeta(r) \sim \zeta_0 \sin(kx)$



- This can be easily checked with perturbation theory

Perturbative check with PDE sine wave

- Perturbative 4-pt: $iS'_{\text{int}} = 3\zeta_0^2 \tilde{\lambda} k^3 / (8192 P_\zeta)$
- Numerical: $\Delta S_{PDE}^{\tilde{\lambda}} = -(\Delta S_{PDE} - \Delta S_{PDE}^0)$



Non-linearity \neq Breaking down of EFT

- It is not generally true that when the non-linearities become important the EFT we are considering necessarily breaks down
- Take GR in which all the non-linear terms are controlled by diff-invariance but the EFT (GR) is still valid as long as ∂/Λ is small
- It's the same spirit as one considers the Vainshtein mechanism where there is a regime which is dominated by non-linear term, but the EFT is still valid
- The issue of instabilities has to be taken care of separately. We are not saying that all the solutions to the non-linear EoM are healthy (also it depends on the background we are expanding around). The presence of instabilities might signal the need of the UV completion.

Non-linearity \neq Breaking down of EFT

- Take $X + X^2$ in which the UV completion is known, but it does not mean that once the non-linearity becomes important the IR theory breaks down
- One can also take the DBI action and work out all the non-linear terms of DBI around $\phi_0(t)$. Again the EFT action is valid even though the non-linearities become important
- Of course the question whether the UV completion exists or not is interesting on its own, but it does not really mean that the EFT breaks down once the non-linear terms are important

Non-linearity \neq Breaking down of EFT

- UV completion of $X + X^2$
- $\mathcal{L}_{\text{IR}} = \mathcal{P}(X)$ with constant X background \Rightarrow Ghost + gradient inst.

The non-linear terms are contained in X^2

- $\mathcal{L}_{\text{UV}} = -|\partial\phi|^2 - \lambda(|\phi|^2 - v^2)^2$ $\phi = \phi_0 e^{i\pi}$, $\langle\phi_0\rangle = v^2 - \frac{X}{2\lambda}$, $X = -(\partial\pi)^2$
- Around $\phi_0(t)$, $X + \beta X^2$ yields

$$S_E = i \int d\eta d^3x \frac{1}{P_\zeta} \left\{ \frac{1}{2\eta^2} [\zeta'^2 + (\partial_i \zeta)^2] + \frac{\lambda}{4!} (\partial_i \zeta)^4 + \frac{\lambda c_s^2}{6\eta} \zeta' (\partial_i \zeta)^2 \right. \\ \left. + \frac{\lambda c_s^2}{12} \zeta'^2 (\partial_i \zeta)^2 + \frac{\lambda c_s^4}{6\eta} \zeta'^3 + \frac{\lambda c_s^4}{4!} \zeta'^4 \right\}$$

No suppression due to small c_s^2 since $c_s^2 = (1 + \beta \dot{\phi}_0^2)/(1 + 3\beta \dot{\phi}_0^2) \in (1/3, 1)$

- The suppression happens for $-X + \beta X^2$ for small $c_s^2 \in (0, 1/3)$

Analytic Continuation

- The Euclidean rotation $\eta \rightarrow iz$ can be easily shown in perturbation theory - order by order of the solution given the source is analytic

$$\zeta(\eta, \mathbf{k}) = K(\eta, \mathbf{k})\zeta_{\mathbf{k}}^0 + \int_{-\infty(1-i\epsilon)}^{\eta_c} d\eta' G(\eta, \eta'; \mathbf{k}) \frac{\delta S_{int}}{\delta \zeta(\eta', \mathbf{k})}$$

$K(\eta, \mathbf{k})$ is bulk-boundary propagator

$$K(\eta, \mathbf{k}) = \frac{(1 - ik\eta)}{(1 - ik\eta_c)} e^{ik(\eta - \eta_c)}$$

The bulk-bulk propagator

$$\begin{aligned} G(\eta, \eta'; \mathbf{k}) &= \frac{-iH^2}{2k^3} \left[\phi_+(\eta)\phi_-(\eta') - \frac{\phi_-(\eta_c)}{\phi_+(\eta_c)}\phi_+(\eta')\phi_+(\eta) \right], |\eta| > |\eta'| \\ &= \frac{-iH^2}{2k^3} \left[\phi_+(\eta')\phi_-(\eta) - \frac{\phi_-(\eta_c)}{\phi_+(\eta_c)}\phi_+(\eta')\phi_+(\eta) \right], |\eta| < |\eta'| \end{aligned}$$

$$\phi_-(\eta) \equiv (1 + ik\eta)e^{-ik\eta}, \quad \phi_+(\eta) \equiv (1 - ik\eta)e^{ik\eta}$$

Euclidean Path-Integral

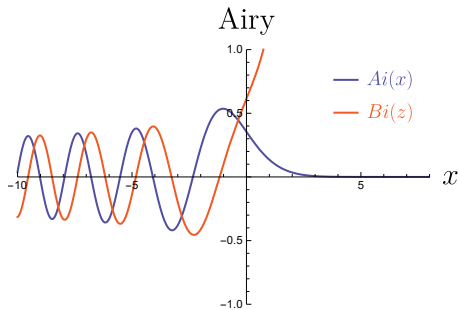
- Is this the only real solution in Euclidean space ?
 - If yes, the Picard-Lefschetz thimbles \Rightarrow it is the only saddle that contributes to path integral
 - If not, there are contributions from complex saddles and one needs to worry about the Stokes phenomenon (the jump in asymptotic behaviour \Rightarrow other saddles can dominate)
- In QM with quartic potential, there is only one real solution ([Serone, Spada, and Villadoro 17](#))

Stokes phenomenon of Airy function

$$Ai(x) \equiv \frac{1}{2\pi} \int_{-\infty}^{\infty} dt e^{\frac{i}{3}t^3 + ixt}$$

- For $x \in \mathcal{R}^+$, two imaginary saddles: $\pm i\sqrt{|x|} \Rightarrow$ Oscillatory
- For $x \in \mathcal{R}^-$, two real saddles: $\pm\sqrt{|x|} \Rightarrow$ Decaying and growing (neglect the growing behaviour)

- Changing from negative to positive the integral is dominated by different saddles (**Stokes phenomenon**)



Stokes phenomenon of Airy function

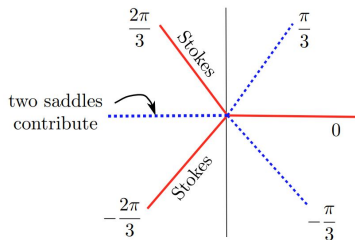
- For complex Airy function

$$Ai(z) \sim z^{-1/4} \exp\left(-\frac{2}{3}z^{2/3}\right), \quad Bi(z) \sim z^{-1/4} \exp\left(\frac{2}{3}z^{2/3}\right)$$

- Stokes lines: $Im(z^{2/3}) = 0 \Rightarrow \arg(z) = 0, \pm 2\pi/3$
- Anti-Stokes lines: $Re(z^{2/3}) = 0 \Rightarrow \arg(z) = \pm\pi/3, \pi$

- $Ai(z)$ is subdominant in $-\pi/3 < \arg(z) < \pi/3$, dominant otherwise

- $Bi(z)$ is dominant in $-\pi/3 < \arg(z) < \pi/3$, subdominant otherwise



(Mariño, Pasquetti, and Putrov 10)

Real time Path-Integral

- Not well-defined because of huge oscillatory behaviour
- Need to give $i\epsilon$ to have a well-defined integral
 - How many saddle points are there ? All of them contribute to path-integral ?
 - Are they analytic ? If yes, the full rotation to Euclidean can be done
- For real time instanton, the on-shell action with $i\epsilon$ is the same as the on-shell Euclidean action ([Cherman and Unsal 14](#))
- For real time quantum tunneling, the solution with $i\epsilon$ admits poles and zeros in complex t-plane ([Turok 14](#))

Analytic Continuation beyond PT

- From dS to Euclidean AdS

$$ds^2 = \frac{1}{H^2 \eta^2} (-d\eta^2 + d\mathbf{x}^2)$$

Perform $\eta \rightarrow iz$ and $H \rightarrow i/L$

$$ds^2 = \frac{L^2}{z^2} (dz^2 + d\mathbf{x}^2)$$

- It has been shown that the functional integral can be analytically continued from dS to EAdS once restricted on the analytic functions ([Harlow and Stanford 11](#))